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Set	Items	Description
S1	95	(HASH OR AUTHENTIC? OR MERKLE) (W) TREE?
S2	6725	(REVOCATION OR REVOK? OR EXPIR?) (5N) CERTIFICAT?
S3	15	S2 AND S1
S4	9	RD S3 (unique items)
?		

T S4/FULL/1-9

**4/9/1 (Item 1 from file: 2)**

DIALOG(R)File 2:INSPEC

(c) 2002 Institution of Electrical Engineers. All rts. reserv.

7112544 INSPEC Abstract Number: B2002-01-6120D-087, C2002-01-1260C-069

**Title: Certificate revocation protocol using k-ary hash tree**

Author(s): Kikuchi, H.; Abe, K.; Nakanishi, S.

Author Affiliation: Dept. of Electr. Eng., Tokai Univ., Hiratsuka, Japan

Journal: IEICE Transactions on Communications vol.E84-B, no.8 p.

2026-32

Publisher: Inst. Electron. Inf. & Commun. Eng,

Publication Date: Aug. 2001 Country of Publication: Japan

CODEN: ITCMEZ ISSN: 0916-8516

SICI: 0916-8516(200108)E84B:8L.2026:CRPU;1-2

Material Identity Number: P711-2001-011

Language: English Document Type: Journal Paper (JP)

Treatment: Theoretical (T)

Abstract: Certificate revocation is a critical issue for a practical, public-key infrastructure. A new efficient revocation protocol using a one-way hash tree structure (instead of the classical list structure, which is known as a standard for revocation), was proposed and examined to reduce communication and computation costs. We analysis a k-ary hash tree for certificate revocation and prove that k=2 minimizes communication cost. (22 Refs)

Subfile: B C

Descriptors: certification; message authentication; protocols; public key cryptography; tree data structures

Identifiers: certificate revocation protocol; k-ary hash tree; public-key infrastructure; communication cost minimization

Class Codes: B6120D (Cryptography); B6150M (Protocols); C1260C (Cryptography theory); C6130S (Data security); C6120 (File organisation); C5640 (Protocols)

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**4/9/2 (Item 2 from file: 2)**

DIALOG(R)File 2:INSPEC

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7094869 INSPEC Abstract Number: B2002-01-6120D-004, C2002-01-6130S-008

**Title: Threaded binary sorted hash trees solution scheme for certificate revocation problem**

Author(s): Wang Shang-ping; Mang Ya-ling; Wang Yu-min

Author Affiliation: Nat. Key Lab. on ISN, Xidian Univ., Xi'an, China

Journal: Journal of Software vol.12, no.9 p.1341-50

Publisher: Science Press,

Publication Date: Sept. 2001 Country of Publication: China

CODEN: RUXUEW ISSN: 1000-9825

SICI: 1000-9825(200109)12:9L.1341:TBSH;1-A

Material Identity Number: G255-2001-010

Language: Chinese Document Type: Journal Paper (JP)

Treatment: Practical (P)

Abstract: A new solution scheme called certificate revocation threaded binary sorted hash trees (CRTBSHT) for the certificate revocation problem in public key infrastructure (PKI) is proposed. Previous solution schemes include: traditional X.509 certificate system's certificate revocation lists (CRL), S. Micali's (1996) Certificate Revocation System (CRS), P. Kocher's (1998) Certificate Revocation Trees (CRT). and Naor-Nissim's 2-3 certificate revocation trees (2-3 CRT) (M. Naor and K. Nissim, 2000) but none is perfect. The new scheme keeps the best properties of CRT, i.e., it is easy to check or prove whether a certificate is revoked which only needs related path values but does not need the whole CRT values and overcomes the disadvantage of CRT that any update will cause the whole CRT to be

computed completely. The new scheme has referential value to PKI engineering practice. (7 Refs)

Subfile: B C

Descriptors: certification; message authentication; public key cryptography; sorting; trees (mathematics)

Identifiers: threaded binary sorted hash tree solution scheme; certificate revocation problem; CRTBSHT; public key infrastructure; PKI; Certificate Revocation System; Certificate Revocation Trees; 2-3 certificate revocation tree; related path values; referential value; PKI engineering practice; certification authority; digital signature

Class Codes: B6120D (Cryptography); B0250 (Combinatorial mathematics); C6130S (Data security); C0310D (Computer installation management); C1160 (Combinatorial mathematics)

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4/9/3 (Item 3 from file: 2)

DIALOG(R)File 2:INSPEC

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6635492 INSPEC Abstract Number: B2000-08-6120D-015, C2000-08-6130S-021

**Title: Performance evaluation of certificate revocation using k-valued hash tree**

Author(s): Kikuchi, H.; Abe, K.; Nakanishi, S.

Author Affiliation: Dept. of Electr. Eng., Tokai Univ., Kanagawa, Japan

Conference Title: Information Security. Second International Workshop, ISW'99. Proceedings (Lecture Notes in Computer Science Vol.1729) p. 103-17

Editor(s): Mambo, M.; Zheng, Y.

Publisher: Springer-Verlag, Berlin, Germany

Publication Date: 1999 Country of Publication: Germany ix+275 pp.

ISBN: 3 540 66695 8 Material Identity Number: XX-1999-03277

Conference Title: Information Security. Second International Workshop, ISW'99. Proceedings

Conference Date: 6-7 Nov. 1999 Conference Location: Kuala Lumpur, Malaysia

Language: English Document Type: Conference Paper (PA)

Treatment: Practical (P)

Abstract: A CRL (certificate revocation list) defined in X.509 is currently used for certificate revocation. There are some issues of CRL including high communication cost and low latency for update. To solve the issues, there are many proposals including CRT (certificate revocation tree), authenticated dictionary, and delta list. In this paper, we study CRT using k-valued hash tree. To estimate the optimal value of k, we examine the overhead of computation and the communication cost. We also discuss when a CRT should be reduced by eliminating unnecessary entries that have already expired. (19 Refs)

Subfile: B C

Descriptors: certification; public key cryptography

Identifiers: performance evaluation; certificate revocation list; k-valued hash tree; X.509; update latency; communication cost; certificate revocation tree; authenticated dictionary; delta list; computation cost

Class Codes: B6120D (Cryptography); C6130S (Data security)

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4/9/4 (Item 4 from file: 2)

DIALOG(R)File 2:INSPEC

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6396786 INSPEC Abstract Number: B1999-12-6120D-068, C1999-12-6130S-032

**Title: Performance evaluation of public-key certificate revocation system with balanced hash tree**

Author(s): Kikuchi, H.; Abe, K.; Nakanishi, S.

Author Affiliation: Tokai Univ., Kanagawa, Japan  
 Conference Title: Proceedings of the 1999 ICPP Workshops on Collaboration and Mobile Computing (CMC'99). Group Communications (IWGC). Internet '99 (IWI'99). Industrial Applications on Network Computing (INDAP). Multimedia Network Systems (MMNS). Security (IWSEC). Parallel Computing '99 (IWPC'99). Parallel Execution on Reconfigurable Hardware (PERH) p.204-9

Editor(s): Panda, D.; Takizawa, M.

Publisher: IEEE, Los Alamitos, CA, USA

Publication Date: 1999 Country of Publication: USA xxi+622 pp.

ISBN: 0 7695 0353 5 Material Identity Number: XX-1999-01656

U.S. Copyright Clearance Center Code: 0 7695 0353 5/99/\$10.00

Conference Title: Proceedings of the 1999 ICPP Workshops

Conference Sponsor: Inf. Process. Soc. Japan (IPSJ); Int. Assoc. Comput. & Commun. (IACC); Univ. Aizu, Japan; Ohio State Univ., USA

Conference Date: 21-24 Sept. 1999 Conference Location: Aizu-Wakamatsu, Japan

Language: English Document Type: Conference Paper (PA)

Treatment: Applications (A); Practical (P)

Abstract: A new method for updating certificate revocation trees (CRT) is proposed. Efficient revocation of public-key certificates is a current issue in public-key infrastructure because a traditional certificate revocation list uses a large amount of bandwidth. A certificate revocation tree is a hash tree of revoked certificates and reduces a bandwidth consumption up to  $O(\log(n))$ . In this paper, an implementation of certificate revocation tree with S-expression is presented and the performance of the system is evaluated in terms of communication and computational costs. To update a CRT, we have two algorithms; (1) random insertion-a new certificate to be revoked is just inserted into the existing tree and (2) balancing updating-balances CRT every time a new certificate is added. (7 Refs)

Subfile: B C

Descriptors: file organisation; performance evaluation; public key cryptography; tree data structures

Identifiers: performance evaluation; public-key certificate revocation system; balanced hash tree; public-key certificates; public-key infrastructure; certificate revocation tree; revoked certificates; S-expression; random insertion

Class Codes: B6120D (Cryptography); C6130S (Data security); C6120 (File organisation); C5470 (Performance evaluation and testing); C5670 (Network performance)

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4/9/5 (Item 5 from file: 2)

DIALOG(R)File 2:INSPEC

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6047572 INSPEC Abstract Number: B9811-6120B-102, C9811-6130S-098

**Title: On certificate revocation and validation**

Author(s): Kocher, P.C.

Author Affiliation: ValiCert, Palo Alto, CA, USA

Conference Title: Financial Cryptography. Second International Conference, FC'98 Proceedings p.172-7

Editor(s): Hirschfeld, R.

Publisher: Springer-Verlag, Berlin, Germany

Publication Date: 1998 Country of Publication: Germany viii+310 pp.

ISBN: 3 540 64951 4 Material Identity Number: XX98-02399

Conference Title: Financial Cryptography. Second International Conference, FC'98. Proceedings

Conference Date: 23-25 Feb. 1998 Conference Location: Anguilla

Language: English Document Type: Conference Paper (PA)

Treatment: Practical (P)

Abstract: Cryptosystems need to check whether the certificates and digital signatures they are given are valid before accepting them. In addition to providing cryptographically secure validity information,

certificate revocation systems must satisfy a variety of challenging technical requirements. The traditional revocation techniques of certificate revocation lists (CRLs) and on-line checking are described, as well as a newer technique, certificate revocation trees (CRTs), based on Merkle hash trees. CRTs provide an efficient and highly-scalable way to distribute revocation information. CRT-based systems include tree issuers who compile revocation information. Confirmation issuers who distribute elements from CRTs, and users who accept certificates. CRTs are gaining increased use worldwide for several reasons. They can be used with existing protocols and certificates, and enable the secure, reliable, scalable, and inexpensive validation of certificates (as well as digital signatures and other data). (4 Refs)

Subfile: B C

Descriptors: certification; cryptography; protocols; tree data structures

Identifiers: certificate revocation; certificate validation; cryptosystems; digital signatures; cryptographically secure validity information; certificate revocation lists; on-line checking; certificate revocation trees; Merkle hash trees; revocation information distribution; tree issuers; revocation information compilation; protocols

Class Codes: B6120B (Codes); C6130S (Data security); C5640 (Protocols); C6120 (File organisation)

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#### 4/9/6 (Item 1 from file: 94)

DIALOG(R)File 94:JICST-EPlus

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04956300 JICST ACCESSION NUMBER: 01A0757710 FILE SEGMENT: JICST-E  
**Internet Technology. Certificate Revocation Protocol Using k-Ary Hash Tree.**

KIKUCHI H (1); ABE K (1); NAKANISHI S (1)

(1) Tokai Univ., Hitatsuka-shi, Jpn

IEICE Trans Commun(Inst Electron Inf Commun Eng), 2001, VOL.E84-B,NO.8,  
PAGE.2026-2032, FIG.8, TBL.2, REF.22

JOURNAL NUMBER: L1369AAW ISSN NO: 0916-8516

UNIVERSAL DECIMAL CLASSIFICATION: 621.391.037.3

LANGUAGE: English COUNTRY OF PUBLICATION: Japan

DOCUMENT TYPE: Journal

ARTICLE TYPE: Original paper

MEDIA TYPE: Printed Publication

ABSTRACT: Certificate Revocation is a critical issue for a practical, public-key infrastructure. A new efficient revocation protocol using a one-way hash tree structure (instead of the classical list structure, which is known as a standard for revocation), was proposed and examined to reduce communication and computation costs. In this paper, we analysis a k-ary hash tree for certificate revocation and prove that  $k=2$  minimizes communication cost. (author abst.)

DESCRIPTORS: tree search; hash function; cryptography key; authentication; infrastructure; public key cryptography; protocol; computational complexity; cost analysis

BROADER DESCRIPTORS: function(mathematics); mapping(mathematics); cryptogram; rule; business analysis; analysis(separation); analysis

CLASSIFICATION CODE(S): ND02030R

#### 4/9/7 (Item 2 from file: 94)

DIALOG(R)File 94:JICST-EPlus

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04636432 JICST ACCESSION NUMBER: 00A0625643 FILE SEGMENT: JICST-E  
**Expected Reduction of Cost for Online Certification Status Verification With Red-Black Hash Tree.**

ABE KENSUKE (1); KIKUCHI HIROAKI (1); NAKANISHI SHOHACHIRO (1)

(1) Tokai Univ., Sch. of Eng.

Joho Shori Gakkai Kenkyu Hokoku, 2000, VOL.2000,NO.36(CSEC-9), PAGE.35-40,

FIG.6, TBL.4, REF.18

JOURNAL NUMBER: Z0031BAO ISSN NO: 0919-6072

UNIVERSAL DECIMAL CLASSIFICATION: 681.3.02-759 681.3:007.51

LANGUAGE: Japanese COUNTRY OF PUBLICATION: Japan

DOCUMENT TYPE: Journal

ARTICLE TYPE: Original paper

MEDIA TYPE: Printed Publication

ABSTRACT: Certificate Revocation is one of the critical issues for a practical public-key infrastructure. A new efficient revocation protocol using one-way hash tree structure instead of the classical list structure, which is known as a standard for revocation, was proposed and examined in communication and computation costs reduction KA00!. A tree approach, however, might be of  $O(n)$  in the worst case when all entries are sorted in descending order. A red-black tree is a binary sorted tree with one extra bit per node, which is used for balancing tree and to guarantee that operations of search and insertion take  $O(\log_2 n)$  in the worst case. In this paper, we study the red-black hash tree for online certificate status verification and estimate the reduction of costs against the binary search tree in terms of communication and computation costs in revocation. (author abst.)

DESCRIPTORS: public key cryptography; binary search; computational complexity; hash function; packaging design; fast algorithm; speedup; performance analysis

IDENTIFIERS: calculation amount

BROADER DESCRIPTORS: cryptogram; tree search; function(mathematics); mapping(mathematics); design; computer algorithm; algorithm; modification; improvement; analysis

CLASSIFICATION CODE(S): JD01020V; JE08000Z

4/9/8 (Item 3 from file: 94)

DIALOG(R)File 94:JICST-EPlus

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04615435 JICST ACCESSION NUMBER: 00A0430671 FILE SEGMENT: JICST-E  
**Online Certificate Status Verification Server Using Binary Search Hash Tree.**

ABE KENSUKE (1); KIKUCHI HIROAKI (1); NAKANISHI SHOHACHIRO (1)

(1) Tokai Univ., Sch. of Eng.

Joho Shori Gakkai Kenkyu Hokoku, 2000, VOL.2000,NO.30(DPS-97 CSEC-8),

PAGE.131-136, FIG.8, TBL.2, REF.17

JOURNAL NUMBER: Z0031BAO ISSN NO: 0919-6072

UNIVERSAL DECIMAL CLASSIFICATION: 681.3.02-759

LANGUAGE: Japanese COUNTRY OF PUBLICATION: Japan

DOCUMENT TYPE: Journal

ARTICLE TYPE: Original paper

MEDIA TYPE: Printed Publication

ABSTRACT: CRT(Certificate Revocation Tree) is a method using hash tree for public-key certificate revocation. In KA98!, we have implemented an experimental CRT system using the S-expression, and shown that its communication cost is smaller than that of CRL. In this paper, we implement an online certificate status verification server using CRT expressed in binary search tree, and examine the system performance in comparison with KA98!. Based on experimental data, we show that the latency of CRT is smaller than that of CRL. We also estimate the performance of the system to which an actual revocation data derived from a CRL is applied. (author abst.)

DESCRIPTORS: data protection; hashing; authentication; packaging design; tree structure; telecommunication; client server system; speedup; performance evaluation; tree search; binary tree; main memory; cache memory

IDENTIFIERS: Java

BROADER DESCRIPTORS: protection; storage system; method; design; structure; computer system(hardware); system; modification; improvement; evaluation; tree(graph); subgraph; graph; memory(computer); equipment

CLASSIFICATION CODE(S): JD01020V

4/9/9 (Item 4 from file: 94)

DIALOG(R)File 94:JICST-EPlus

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03792520 JICST ACCESSION NUMBER: 98A0986994 FILE SEGMENT: JICST-E

**Certificate Revocation and Update Using Binary Hash Tree.**

KIKUCHI HIROAKI (1); ABE KENSUKE (1); NAKANISHI SHOHACHIRO (1)

(1) Tokai Univ., Sch. of Eng.

Joho Shori Gakkai Kenkyu Hokoku, 1998, VOL.98,NO.84(DPS-90 CSEC-2),

PAGE.51-56, FIG.9, REF.8

JOURNAL NUMBER: Z0031BAO ISSN NO: 0919-6072

UNIVERSAL DECIMAL CLASSIFICATION: 681.3.02-759 621.391.037.3

LANGUAGE: Japanese COUNTRY OF PUBLICATION: Japan

DOCUMENT TYPE: Journal

ARTICLE TYPE: Original paper

MEDIA TYPE: Printed Publication

ABSTRACT: A CRL(Certificate Revocation List) defined in X.509 is currently used for revocation. To corp with issue of CRL, that includes a high communication cost and low latency for update, OCSP, Delta-CRL, CRT(Certificate Revocation Tree) and Authenticated Directory have been proposed. In this paper, we implement experimental CRT system, and the expected reduction of communication cost in comparison with CRL. We also propose a new update method which is more efficient in communication than Naor's evaluate method. (author abst.)

DESCRIPTORS: computer security; public key cryptography; data update; hashing; binary tree; performance evaluation; data protection

BROADER DESCRIPTORS: security; guarantee; cryptogram; renewal; storage system; method; tree(graph); subgraph; graph; evaluation; protection

CLASSIFICATION CODE(S): JD01020V; ND02030R

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